# Are There Metal Oxides That Prefer a [2 + 2] Addition over a [3 + 2] Addition to Olefins? Theoretical Study of the Reaction Mechanism of LReO<sub>3</sub> Addition (L = O<sup>-</sup>, Cl, Cp) to Ethylene<sup>1</sup>

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Abstract: The activation barriers and reaction energies for the [3 + 2] addition of the transition-metal oxides  $OsO_4$  and LReO<sub>3</sub> (L = O<sup>-</sup>, Cl, Cp) to ethylene have been investigated at the B3LYP level of theory, using an effective core potential for Re with a large valence basis set. The alternative two-step reaction path via [2 +2] addition yielding an oxetane intermediate and subsequent rearrangement to the dioxylate has also been studied. It is found that the rhenium oxides LReO<sub>3</sub> have activation energies for the [3 + 2] addition significantly higher than that of  $OsO_4$ , whereas the [2 + 2] additions of  $CIReO_3$  and  $CpReO_3$  have barriers clearly lower than that of  $OsO_4$ . However, the activation energies for the [2 + 2] addition remain in all cases higher than the barriers for the [3 + 2] reaction, although the differences between the barrier heights is much less for LReO<sub>3</sub> than for OsO<sub>4</sub>. The activation energies for rearrangement of the oxetane intermediate to the dioxylate are very high for all LReO<sub>3</sub> species, which rules out that the suggested two-step mechanism for alkene extrusion from Re(V) dioxylates takes place. Approximate calculations show that this conclusion is valid also for Cp\*ReO<sub>3</sub>. The calculations suggest the possibility that (1,2)pushpull-substituted olefins yield metallaoxetanes in metal oxide addition reactions rather than dioxylates, because the carbon atoms of the metallaoxetanes have a zwitterionic character in the [2 + 2] transition state and in the product. The Cp ligand shows unique properties as "stereoelectronic mediator" by adopting different bonding modes with the metal in  $CpReO_3-C_2H_4$  isomers. This leads to energies for the activation barriers and reaction products which are not obvious when free CpReO<sub>3</sub> becomes analyzed.

# Introduction

The long-standing controversy about the mechanism of the  $OsO_4$  addition to olefins<sup>2,3</sup> has been recently settled with the help of quantum chemical calculations. Four independent studies showed that the proposed two-step mechanism via initial [2 + 2] addition and subsequent rearrangement to the dioxylate has much higher activation barriers than the direct [3 + 2] cycloaddition (Scheme 1).<sup>4</sup> Analysis of the orbital interactions between  $OsO_4$  and ethylene revealed that the [3 + 2] addition is a symmetry-allowed reaction, whereas the [2 + 2] addition involves electronically excited states of the reactants, i.e., it is a symmetry-forbidden reaction.<sup>4a</sup> This means that metallaoxetanes might perhaps be synthesized via photochemical pathways.

Are the results obtained for the mechanism of the osmylation reaction also valid for the addition leading to dioxylates of other metal oxides to olefins? In other words, are there metal oxides that do follow the two-step mechanism for the addition to olefins, as it was originally suggested by Sharpless for the OsO<sub>4</sub>

(1) Theoretical Studies of Organometallic Compounds. 34. Part 33: Boehme, C.; Frenking, C. *Organometallics* **1998**, *17*, 5801.

Scheme 1. Schematic Representation of the Two Reaction Paths Suggested for  $OsO_4$  Addition to Olefins



addition?<sup>5</sup> There are experimental studies that have been interpreted in favor of an initial [2 + 2] addition of Cp\*ReO<sub>3</sub> to olefins (reaction course B in Scheme 1). Gable and co-workers reported results of kinetic investigations on alkene extrusion from rhenium(V) dioxylates, which were interpreted

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in favor of a stepwise mechanism.<sup>6</sup> Although the experiments gave no positive evidence for the structure of the intermediate, it was suggested that a metallaoxetane could be involved in the reaction.

Two recent theoretical studies have been published that are relevant for the topic of this work. Houk et al.<sup>7</sup> reported ab initio and DFT calculations that show that the [3 + 2] addition of SO<sub>3</sub> to ethylene, yielding ethylensulfite, has a *higher* barrier than the [2 + 2] addition giving the four-membered cyclic sultone. It follows that the formally symmetry-forbidden [2 + 2] addition can become more favorable than the [3 + 2] addition. An explanation for the reversal of the activation barriers was given in terms of the strongly polarized frontier orbitals of SO<sub>3</sub>, which has the LUMO essentially localized at sulfur and the HOMO localized at the oxygen atoms.<sup>7</sup>

The second important work was recently published by Rappé et al.<sup>8</sup> These workers calculated the reaction energies for the addition of LReO<sub>3</sub> (L = Cp\*, Cp, Cl, CH<sub>3</sub>, OH, OCH<sub>3</sub>, O<sup>-</sup>) to ethylene, yielding either the dioxylate via [3 + 2] addition or the oxetane via [2 + 2] addition. It was found that for  $L = Cp^*$ and Cp the dioxylate is lower in energy than the oxetane, whereas the oxetane isomer becomes more stable than the dioxylate when L = Cl,  $CH_3$ , OH,  $OCH_3$ , or  $O^-$ . This was explained with the  $\pi$ -donor strength of L. It was suggested that stronger  $\pi$ -donor ligands L favor the formation of the dioxylate over the oxetane, because in the five-membered ring only a single oxo and L compete for  $\pi$ -bonding with the metal.<sup>8</sup> This is not a very convincing argument, because the stronger  $\pi$ -donor ligand, O<sup>-</sup>, yields the least stable dioxylate investigated in the work.8 Although Rappé et al.8 focused on the thermodynamics of the reaction, they did mention the calculated barrier for the [3 + 2] addition of CpReO<sub>3</sub> to ethylene (27.2 kcal/mol) and the per deuterium kinetic isotope effect (KIE) (1.09). Because both values are in very good agreement with the experimental results for the ethylene extrusion from Cp\*ReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> dioxylate reported by Gable<sup>6c</sup>, the authors suggest that a [3 + 2]mechanism may be operating. However, the activation barrier and the KIE for the competitive [2 + 2] addition were not given. Also, there was no explanation why the [3 + 2] barrier for CpReO<sub>3</sub> addition is much higher than that for the OsO<sub>4</sub> addition to ethylene.

In this work, we report the calculated potential energy surfaces for the [3 + 2] and [2 + 2] addition of LReO<sub>3</sub> (L = O<sup>-</sup>, Cl, Cp) to ethylene and for the interconversion of the metallaoxetane to the dioxylates, using density functional theory (DFT) at the B3LYP<sup>9</sup> level. We present the optimized transition states and equilibrium geometries of the LReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> species, and we compare the results with the osymlation reaction. The electronic structure of the molecules is analyzed with the help of the natural bond orbital (NBO) partitioning scheme<sup>10</sup> and the charge decomposition analysis (CDA).<sup>11</sup> The aim of this study is to understand the reason for the significantly different activation barriers and reaction energies of the addition of transition-metal oxides to ethylene.

### Methods

The geometries of the molecules have been optimized using the threeparameter fit of the exchange potentials introduced by Becke (B3LYP).<sup>9</sup> Relativistic small-core ECPs<sup>12</sup> with a valence basis set splitting (441/ 2111/21) were used for Re, and 6-31G(d) all-electron basis sets were employed for the other atoms.<sup>13</sup> This is our standard basis set II.<sup>14</sup> Vibrational frequencies and zero-point energy contributions (ZPE) have also been calculated at B3LYP/II. All structures reported here are either minima (*i* = 0) or transition states (*i* = 1) on the potential energy surface. The ZPE corrections are unscaled.

Improved total energies were calculated at the B3LYP level, using the same ECP and valence basis set for Re, but totally uncontracted and augmented with one set of f-type polarization functions ( $\zeta = 0.869$ ),<sup>15</sup> together with 6-31+G(d) basis sets for the other atoms.<sup>16</sup> This basis set combination is denoted III+, because it is an uncontracted and augmented version of our standard basis set III.<sup>14</sup> The calculations were carried out with the program package Gaussian 94.<sup>17</sup>

The donor-acceptor interaction in the [3 + 2] transition states have been examined using the charge-decomposition analysis (CDA).<sup>11</sup> In the CDA method, the (canonical, natural or Kohn-Sham) molecular orbitals of a complex are expressed in terms of the MOs of appropriately chosen fragments. In the present case, the Kohn-Sham orbitals of the [3 + 2] transition state for the metal oxide (MeO) addition to C<sub>2</sub>H<sub>4</sub> are expressed by a linear combination of the orbitals of MeO and C<sub>2</sub>H<sub>4</sub> calculated in the transition-state geometry. The orbital contributions are divided into the mixing of the occupied MOs of C<sub>2</sub>H<sub>4</sub> and the unoccupied MOs of MeO (donation  $C_2H_4 \rightarrow$  MeO), mixing of the unoccupied MOs of C2H4 and the occupied MOs of MeO (backdonation  $C_2H_4 \leftarrow MeO$ ), and mixing of the occupied MOs of  $C_2H_4$  and the occupied MOs of MeO (repulsive polarization  $C_2H_4 \leftrightarrow$  MeO). A fourth term denoted as residue term  $\Delta$  gives the mixing of the unoccupied MOs of  $C_2H_4$  and the unoccupied MOs of MeO. The  $\Delta$  term should be  $\sim 0$  if the [3 + 2] transition states can be discussed in terms of closed-shell interactions (cycloaddition between MeO and C2H4). Previous work has shown that this is not valid for the transition state of the formal [2 + 2] transition state of OsO<sub>4</sub> and ethylene.<sup>4a</sup> A more detailed presentation of the method and the interpretation of the results is given in ref 11. Further examples in which the CDA method was used for the analysis of donor-acceptor complexes can be found in the literature.18 The CDA calculations have been performed using the program CDA 2.1.19

# Results

There are six stationary points on the potential energy surfaces for the addition reactions of the metal oxides MeO to ethylene that are relevant for the present study. Figure 1 shows the optimized structures at B3LYP/II for the educts, transition states for [3 + 2] addition, dioxylates, transition states for [2 + 2]addition, oxetanes, and transition states for the oxetane  $\rightarrow$ dioxylate rearrangements for MeO = OsO<sub>4</sub>, ReO<sub>4</sub><sup>-</sup>, ClReO<sub>4</sub>,

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and CpReO<sub>4</sub>. In the cases of ClReO<sub>3</sub> and CpReO<sub>3</sub>, we located cis and trans isomers for TS[2 + 2], oxetane, and Ts[rearr]. Table 1 gives the energies of the calculated species.

The relative energies of the stationary points change significantly at B3LYP when the large basis set III+ is used instead of II. The activation barriers become higher, and the reaction energies become more positive (less negative) at B3LYP/III+ (Table 1). The largest changes (12.3-15.3 kcal/mol) are found for the formation of the dioxylate. However, the energy ordering of the stationary points is in most (but not all) cases not affected. Nevertheless, the change in the predicted activation barriers and reaction energies does have some meaning. For example, the activation barrier  $OsO_4 - TS[3 + 2]$  increases at B3LYP/III+ to 11.8 kcal/mol (13.7 kcal/mol when ZPE corrections are included). Previous DFT calculations predicted an activation energy of only 1.8 kcal/mol for the [3 + 2] addition of OsO<sub>4</sub> to ethylene.<sup>4c</sup> Because the base-free osmylation reaction is rather sluggish,<sup>20</sup> we think that the higher activation barrier is more accurate. The calculated reaction energy for formation of OsO4dioxylate at B3LYP/III+ becomes -19.1 kcal/mol (-14.4 kcal/ mol with ZPE corrections), which is significantly less exothermic than that predicted at B3LYP/II (-32.3 kcal/mol, -27.6 kcal/mol with ZPE corrections). The calculated barriers for the two-step mechanism B (Scheme 1) involving TS[2 + 2] (47.9 kcal/mol) and TS[rearr] (45.4 kcal/mol) at B3LYP/III+ remain much higher in energy than the barrier for the [3 + 2] addition. Because the larger basis set III+ appears to give more reliable energies, we will from now on only discuss B3LYP/III+ energies, unless it is otherwise specified.

The calculated energies for the osmylation reaction have been used to estimate the accuracy of the B3LYP/III+ results by comparing them with data obtained at the CCSD(T) level of theory. Table 1 shows that the B3LYP/III+ values for the activation barriers and reaction energies are not very different from the CCSD(T)/II results. The differences between the two levels of theory are less than 4 kcal/mol. To test the influence of the size of the basis set on the CCSD(T) results, we carried out CCSD(T) energy calculations for the [3 + 2] addition, using the much larger basis set III. Table 1 shows that the activation barrier at CCSD(T)/III+ (11.0 kcal/mol, 12.9 kcal/mol with ZPE corrections) is only slightly higher than that at CCSD(T)/II (9.6 kcal/mol, 11.5 kcal/mol with ZPE corrections). The reaction energy for formation of the dioxylate at CCSD(T)/III + (-15.2)kcal/mol, -10.5 kcal/mol with ZPE corrections) is somewhat less exothermic than that at CCSD(T)/II (-21.2 kcal/mol, -16.5 kcal/mol with ZPE corrections). Because B3LYP/III+ mimics the CCSD(T)/III+ results even better than CCSD(T)/II, it follows that the energies predicted at the B3LYP/III+ level should be quite accurate.

The calculated reaction profile for the addition of  $\text{ReO}_4^-$  to ethylene clearly differs from the osmylation reaction (Table 1). The dioxylate formation with perrhenate is highly endothermic (30.8 kcal/mol, 34.7 kcal/mol with ZPE corrections), whereas

the [3 + 2] addition of OsO<sub>4</sub> is strongly exothermic (-19.1 kcal/mol, -14.4 kcal/mol with ZPE corrections). The recent work of Rappé et al.<sup>8</sup> gave a value of 33 kcal/mol for the reaction energy, in good agreement with our results. Our data confer also the prediction by Rappé that the formation of ReO<sub>4</sub><sup>-</sup>-oxetane is less endothermic than the formation of ReO<sub>4</sub><sup>-</sup>-dioxylate (Table 1). This is opposite to the OsO<sub>4</sub>-C<sub>2</sub>H<sub>4</sub> system, in which the OsO<sub>4</sub>-dioxylate formation is clearly exothermic whereas the formation of the energetically much higher lying OsO<sub>4</sub>-oxetane isomer is endothermic. The higher stability of ReO<sub>4</sub><sup>-</sup>-oxetane over ReO<sub>4</sub><sup>-</sup>-dioxylate is predicted only at B3LYP/III+, however. At B3LYP/II, ReO<sub>4</sub><sup>-</sup>-dioxylate is lower in energy than ReO<sub>4</sub><sup>-</sup>-oxetane (Table 1).

Although the [2 + 2] addition yielding ReO<sub>4</sub><sup>-</sup>-oxetane is thermodynamically favored over the [3 + 2] addition leading to  $\text{ReO}_4$  – dioxylate, the latter reaction still has an activation barrier (44.8 kcal/mol, 46.5 kcal/mol with ZPE corrections) lower than that of the [2 + 2] addition (50.6 kcal/mol, 51.6 kcal/mol with ZPE corrections). The calculations predict that for the [3 + 2] addition to ethylene ReO<sub>4</sub><sup>-</sup> has a barrier much higher than that for  $OsO_4$ , whereas the barriers for the [2 + 2]addition steps are nearly the same (Table 1). The energy difference between TS[3 + 2] and TS[2 + 2] for the  $OsO_4$ -C<sub>2</sub>H<sub>4</sub> reaction is 36.1 kcal/mol (35.5 kcal/mol with ZPE corrections) in favor of the former barrier, but it is only 5.8 kcal/mol (5.1 kcal/mol with ZPE corrections) in favor of TS[3 + 2] for the system ReO<sub>4</sub><sup>-</sup>-C<sub>2</sub>H<sub>4</sub>. Please note that the barrier for the rearrangement TS[rearr] is much higher (108.1 kcal/ mol, 109.7 kcal/mol with ZPE) for ReO<sub>4</sub><sup>--</sup>C<sub>2</sub>H<sub>4</sub> than for OsO<sub>4</sub>-C<sub>2</sub>H<sub>4</sub> (45.4 kcal/mol, 47.4 with ZPE). This means that reaction course B can safely be excluded for the addition of ReO<sub>4</sub><sup>-</sup> to ethylene, although the first step has a barrier only slightly higher than that of the [3 + 2] addition.<sup>21</sup> A barrier for the [3 + 2] ReO<sub>4</sub><sup>-</sup> addition to ethylene higher than that for OsO<sub>4</sub> is in agreement with experimental observations that the addition of osmiumtetroxide to olefins is much faster than the addition of perrhenate.<sup>6</sup>

The [3 + 2] addition of ClReO<sub>3</sub> to ethylene is kinetically and thermodynamically less favorable than the osmylation reaction but more favorable than the  $\text{ReO}_4^-$  addition (Table 1). The calculated barrier is 30.6 kcal/mol (32.8 with ZPE). The reaction is endothermic by 14.7 kcal/mol (19.3 kcal/mol with ZPE). We discuss only the energetically lowest lying cis or trans isomeric forms for the [2 + 2] addition of ClReO<sub>3</sub> to ethylene. The cis form has the lower barrier (34.5 kcal/mol; 36.0 kcal/ mol with ZPE), which is still higher than that for TS[3 + 2]. The trans form of the ClReO<sub>3</sub>-oxetane is lower in energy than the cis form. The formation of the former is endothermic by 9.2 kcal/mol (12.6 kcal/mol with ZPE). Because the energy barrier for interconversion of cis and trans forms is most probably lower than the activation energy for the [2 + 2]addition, it is justified to discuss the cis form of TS[2 + 2] and the trans form of the oxetane. Even if the hypothesis of a lower activation barrier for the cis-trans isomerization is not correct, it would not change the conclusion about the initial reaction step of the metal oxide addition to ethylene, because this is determined by the barrier heights of the [2 + 2] and [3 + 2]

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<sup>(21)</sup> It is theoretically possible that a metallaoxetane is formed during the reaction course, because TS[2 + 2] is energetically lower than TS[3 + 2], and that the dioxylate is still produced through [3 + 2] addition, because TS[rearr] is higher than TS[3 + 2]. A scenario with relative activation energies TS[2 + 2] < TS[3 + 2] < TS[rearr] would be an alternative two-step mechanism with the oxetane as intermediate, which has previously not been considered. The calculated reaction profile for  $ReO_4^-$  addition to ethylene shows that such a reaction path is perhaps more likely than via TS[rearr].





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**Table 1.** Calculated Relative Energies ( $E_{rel}$ ) for the Stationary Points of the [3 + 2] and [2 + 2] Additions of Metal Oxides (LMO<sub>3</sub>) to Ethylene

		$E_{\rm rel}  (\rm kcal/mol)^a$					
LMO <sub>3</sub>	method	$LMO_3 + C_2H_4$	TS[3 + 2]	dioxylate	TS[2+2]	oxetane	TS[rearr]
OsO <sub>4</sub>	B3LYP/II <sup>b</sup>	0.0	5.0	-32.3	44.0	5.0	36.2
		(0.0)	(6.9)	(-27.6)	(45.3)	(8.2)	(38.4)
OsO <sub>4</sub>	B3LYP/III+//B3LYP/II	0.0	11.8	-19.1	47.9	12.7	45.4
		(0.0)	(13.7)	(-14.4)	(49.2)	(15.9)	(47.6)
$OsO_4$	CCSD(T)/II//B3LYP/II <sup>b</sup>	0.0	9.6	-21.2	44.7	11.1	41.8
		(0.0)	(11.5)	(-16.5)	(46.0)	(14.3)	(44.0)
$OsO_4$	CCSD(T)/III+//B3LYP/II	0.0	11.0	-15.2			
		(0.0)	(12.9)	(-10.5)			
$\text{ReO}_4^-$	B3LYP/II	0.0	36.0	15.5	46.2	19.1	95.4
		(0.0)	(37.7)	(19.4)	(47.2)	(21.6)	(97.0)
$\text{ReO}_4^-$	B3LYP/III+//B3LYP/II	0.0	44.8	30.8	50.6	27.2	108.1
		(0.0)	(46.5)	(34.7)	(51.6)	(29.7)	(109.7)
ClReO <sub>3</sub>	B3LYP/II	0.0	21.8	1.4	30.0; 35.2	5.9; 2.2	$52.2^d$ ; 49.8
		(0.0)	(24.0)	(6.0)	(31.8; 36.8)	(9.1; 5.6)	(54.6; 52.2)
$ClReO_3$	B3LYP/III+//B3LYP/II	0.0	30.6	14.7	34.5; 39.1	13.1; 9.2	61.8; 60.0
		(0.0)	(32.8)	(19.3)	(36.0; 40.6)	(16.3; 12.6)	(64.0; 62.4)
CpReO <sub>3</sub>	B3LYP/II	$0.0^{d}$	13.6	-21.0	25.4; 33.3	-2.2; -6.3	$49.3^{a}; 49.6^{a}$
		(0.0)	(15.7)	(-16.1)	(27.0; 34.6)	(0.8; -3.3)	(51.2; 51.8)
CpReO <sub>3</sub>	B3LYP/III+//B3LYP/II	0.0	20.7	-8.7	29.9; 37.8	4.7; 0.9	58.3; 60.0
		(0.0)	(22.8)	(-3.9)	(31.6; 39.2)	(7.8; 3.8)	(60.2; 62.2)
Cp*ReO <sub>3</sub>	B3LYP/II	0.0	16.8		$41.5^{\circ}; 40.3^{\circ}$		
		(0.0)	(18.9)				
Cp*ReO <sub>3</sub>	B3LYP/III+//B3LYP/II	0.0	23.0				
		(0.0)	(25.1)				

<sup>*a*</sup> In cases where cis and trans isomers are found, the value for the cis isomer is given first and the value for the trans isomer is given second. ZPE(B3LYP/II)-corrected relative energies are given in parentheses. <sup>*b*</sup> Ref 4a. <sup>*c*</sup> From a geometry taken from the analogous Cp system; only internal Cp\* coordinates and Re–C(Cp\*) distances were optimized. <sup>*d*</sup> Instability of the restricted wave function. The unrestricted ansatz leads to an energy correction lower than 0.1 kcal/mol.

additions. The barrier for rearrangement TS[rearr] of  $CIReO_3$  is very high (60.0 kcal/mol, 62.4 kcal/mol with ZPE). It follows that the two-step mechanism B can also be excluded for the addition of  $CIReO_3$  to ethylene.

The central part of this work concerns the question of whether reaction path B via [2 + 2] addition is energetically possible for the addition of CpReO<sub>3</sub> to ethylene, because kinetic studies led to the suggestion that this path may be more favorable for Cp\*ReO<sub>3</sub> addition to olefins than for the [3 + 2] addition.<sup>6</sup> Although we model Cp\* with Cp, we believe that the calculated results for the CpReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> system are valid for Cp\*ReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> as well.

Table 1 shows that the activation barrier for the [3 + 2]addition (20.7 kcal/mol, 22.8 kcal/mol with ZPE) is higher than that for the osmylation reaction but lower than the corresponding barriers for ReO<sub>4</sub><sup>-</sup> and ClReO<sub>4</sub>. The formation of CpReO<sub>3</sub>dioxylate is exothermic by -8.7 kcal/mol (-3.9 kcal/mol with ZPE). Thus, CpReO<sub>3</sub> is the only LReO<sub>3</sub> system studied in this work which forms a dioxylate exothermically. This is in agreement with the work of Rappé, who investigated LReO3 with  $L = Cp^*$ , Cp, Cl, CH<sub>3</sub>, OH, OCH<sub>3</sub>, and O<sup>-.8</sup> They calculated a reaction energy of -8 kcal/mol for the [3 + 2]addition of CpReO<sub>4</sub> to ethylene, which is essentially the same as our result. The [3 + 2] addition of Cp\*ReO<sub>3</sub> to ethylene was predicted to be exothermic by -4 kcal/mol, whereas the other LReO<sub>3</sub> compounds have positive reaction energies.<sup>8</sup> The similar values for the thermodynamics of dioxylate formation of CpReO<sub>3</sub> and Cp\*ReO<sub>3</sub> support our model of using Cp instead of Cp\*.

The calculated activation barrier for the [2 + 2] addition of CpReO<sub>3</sub> to C<sub>2</sub>H<sub>4</sub> is 29.9 kcal/mol (cis form; 31.6 kcal/mol with ZPE). This means that already the first step of path B (Scheme 1) has a barrier 10 kcal/mol higher than that in path A. The formation of the CpReO<sub>3</sub>-oxetane intermediate is slightly endothermic by 0.9 kcal/mol (trans form; 3.8 kcal/mol with

ZPE). A much higher barrier of 58.3 kcal/mol (cis form; 60.2 kcal/mol with ZPE) is predicted for the second step of path B (Table 1). The calculations clearly show that, for the addition of CpReO<sub>3</sub> to ethylene, the two-step mechanism via initial [2 + 2] addition has activation barriers much higher than that of the [3 + 2] cycloaddition and therefore, should *not* take place. Because of the rather large energy difference between path A and path B for CpReO<sub>3</sub> and because CpReO<sub>3</sub> should mimic the reaction profile for Cp\*ReO<sub>3</sub> addition to ethylene quite well, we think that the reaction of olefins with Cp\*ReO<sub>3</sub> also occurs via [3 + 2] addition.

To investigate the differences in the activation energies between Cp\*ReO<sub>3</sub> addition and CpReO<sub>3</sub> addition to ethylene, we also fully optimized TS[3 + 2] for the former reaction. Figure 1 shows that the geometry of TS[3 + 2] for the addition of Cp\*ReO<sub>3</sub> to ethylene is very similar to that for the corresponding CpReO3 addition. Table 1 shows that the activation energy for [3 + 2] addition of Cp\*ReO<sub>3</sub> is slightly higher (23.0 kcal/mol; 25.1 kcal/mol with inclusion of ZPE) than that for CpReO<sub>3</sub>. The theoretically predicted activation barrier of  $\Delta H^{\ddagger} = 25.1$  kcal/mol is in excellent agreement with the measured activation barrier of 28.0 kcal/mol for oxidation of ethylene with Cp\*ReO<sub>3</sub> as reported by Gable and Phan.<sup>6a</sup> Full optimization of Ts[2 + 2] for Cp\*ReO3 addition was not possible because of the enormous computer time. We made an estimate of the energy of the transition state by using the frozen geometries of TS[2 + 2] for cis and trans additions of CpReO<sub>3</sub> to C<sub>2</sub>H<sub>4</sub>, replacing Cp by Cp\*, and optimizing the Cp\* moiety. Table 1 shows the calculated energies. It becomes clear that the partially optimized cis and trans [2 + 2] transition states for Cp\*ReO<sub>3</sub> addition are significantly higher than that for TS-[3+2]. Although the true transition state for [2+2] addition may be a bit lower in energy, it is highly unlikely that it becomes lower than that for TS[3 + 2]. The large difference between the activation barriers for the two processes and the good



Stationary point

Figure 2. Schematic representation of the calculated reaction profiles for the addition of metal oxides to ethylene. Energies are taken from B3LYP/III+//B3LYP/II calculations.

Table 2.	Calculated (B3LYP/II) and Experimental R	e-C and C-C distances of the Stru-	uctures of CpReO <sub>3</sub> and Cp*ReO <sub>3</sub> Addition	to Ethylene
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		distance (Å)									
molecule	method	Re-C5	Re-C6	Re-C7	Re-C8	Re-C9	C5-C6	C6-C7	С7-С8	C8-C9	C9-C5
CpReO <sub>3</sub>	calculated experimental <sup>a</sup>	2.474 2.341	2.488 2.377	2.481 2.429	2.481 2.429	2.488 2.377	1.426 1.434	1.418 1.400	1.431 1.505	1.418 1.400	1.426 1.434
$CpReO_3 - TS[3 + 2]$	calculated	2.362	2.436	2.569	2.569	2.436	1.430	1.421	1.419	1.421	1.430
CpReO <sub>3</sub> -dioxylate	calculated	2.238	2.294	2.505	2.518	2.324	1.439	1.444	1.395	1.442	1.437
	experimental <sup>b</sup>	2.235	2.247	2.414	2.429	2.272	1.440	1.473	1.388	1.463	1.430
$CpReO_3 - TS[2+2]$ cis	calculated	2.214	2.797	3.630	3.780	3.081	1.488	1.370	1.447	1.364	1.479
$CpReO_3 - TS[2+2]$ trans	calculated	2.300	2.558	3.301	3.591	3.101	1.448	1.401	1.430	1.376	1.462
CpReO <sub>3</sub> -oxetane cis	calculated	2.181	2.932	3.836	3.911	3.090	1.495	1.357	1.462	1.353	1.502
CpReO <sub>3</sub> -oxetane trans	calculated	2.177	3.006	3.823	3.786	2.935	1.498	1.356	1.461	1.362	1.484
CpReO <sub>3</sub> -TS[rearr] cis	calculated	2.332	2.479	2.610	2.534	2.396	1.440	1.411	1.419	1.424	1.429
CpReO <sub>3</sub> -TS[rearr] trans	calculated	2.294	2.392	2.680	2.822	2.633	1.441	1.411	1.424	1.398	1.436
Cp*ReO <sub>3</sub>	calculated	2.431	2.468	2.455	2.454	2.468	1.437	1.430	1.441	1.430	1.437
$Cp*ReO_3-TS[3+2]$	calculated	2.336	2.408	2.520	2.520	2.407	1.441	1.432	1.430	1.432	1.441

<sup>*a*</sup> Ref 22. <sup>*b*</sup> Cp\*ReO<sub>3</sub>-dioxylate, ref 24.

agreement of the calculated barrier for [3 + 2] addition and the measured activation energy support our conclusion that also the Cp\*ReO<sub>3</sub> addition to ethylene occurs via a [3 + 2] mechanism.

Figure 2 shows schematically the reaction profiles of the oxidation reactions via [2 + 2] and [3 + 2] addition for the investigated metal oxides. It becomes obvious that the [2 + 2] addition is always *kinetically* more hindered than the [3 + 2] addition, i.e., TS[2 + 2] is always higher in energy than TS[3 + 2]. This does not hold in all cases for the *thermodynamics* of the processes. The metallaoxetane forms of  $ReO_4^--C_2H_4$  and  $CIReO_3-C_2H_4$  are slightly lower in energy than the dioxylate forms, whereas the dioxylates of  $OsO_4-C_2H_4$  and  $CPReO_3-C_2H_4$  are more stable than the metallaoxetanes.<sup>28</sup>

Important results for the addition of  $CpReO_3$  to ethylene are given by the calculated Re-C(Cp) distances of the  $CpReO_3$ -

 $C_2H_4$  system, which are given in Table 2. The theoretically predicted rhenium-carbon bond lengths in free CpReO<sub>3</sub> (2.474–2.488 Å) are slightly longer than the experimental values obtained from X-ray structure analysis (Re-C(Cp)<sub>av</sub> = 2.40)

(27) Herrmann, W. A.; Kiprof, P.; Rypdal, K.; Tremmel, J.; Blom, R.; Alberto, R.; Behm, J.; Albach, R. W.; Bock, H.; Solouki, B.; Mink, J.; Lichtenberger, D.; Gruhn, N. J. Am. Chem. Soc. **1991**, *113*, 6527.

(28) One referee suggested that we check the calculations for wavefunction stability with respect to spin symmetry. Few calculations were found to be UHF unstable. They are listed in Table 1. Subsequent B3LYP-(UHF) calculations gave energies which were less than 0.1 kcal/mol lower than B3LYP(RHF) energies.

<sup>(22)</sup> Kühn, F. E.; Herrmann, W. A.; Hahn, R.; Elison, M.; Blümel, J.; Herdtweck, E. *Organometallics* **1994**, *13*, 1601.

<sup>(23)</sup> Jonas, V.; Frenking, G.; Reetz, M. T. J. Am. Chem. Soc. 1994, 116, 8741.

<sup>(24)</sup> Herrmann, W. A.; März, D. W.; Herdtweck, E. J. Organomet. Chem. **1990**, *394*, 285. The authors do not give the Re–C distances in the paper, which can be taken from the crystallographic coordinates given in the paper.

<sup>(25)</sup> The energy levels of the frontier orbitals of ethylene are  $\epsilon$ (HOMO) = -7.25 eV and  $\epsilon$ (LUMO) = 0.51 eV. The energy differences to the frontier orbitals of OsO<sub>4</sub> (Figure 3) are HOMO(C<sub>2</sub>H<sub>4</sub>) - LUMO(OsO<sub>4</sub>) = 2.68 eV and LUMO(C<sub>2</sub>H<sub>4</sub>) - HOMO(OsO<sub>4</sub>) = 10.57 eV. With ReO<sub>4</sub><sup>-</sup>, the energy differences become HOMO(C<sub>2</sub>H<sub>4</sub>) - LUMO(ReO<sub>4</sub><sup>-</sup>) = 10.79 eV and LUMO(C<sub>2</sub>H<sub>4</sub>) - HOMO(ReO<sub>4</sub><sup>-</sup>) = 3.44 eV. The energy difference LUMO-(C<sub>2</sub>H<sub>4</sub>) - HOMO(- 1(ReO<sub>4</sub><sup>-</sup>) is 4.28 eV.

<sup>(26)</sup> The Cp ring in CpReO<sub>3</sub>-dioxylate could be considered  $\eta^3$ -bonded ligand, because three Re-C bonds are clearly shorter than the other two Re-C bonds. However, the longer Re-C bonds in CpReO<sub>3</sub>-dioxylate (2.505 and 2.518 Å) still have about the same interatomic distance as in free CpReO<sub>3</sub> (2.474-2.488 Å) and thus, indicate significant Re-C interactions.

 Table 3.
 NBO Atomic Partial Charges and Electronic Configurations of the Metals

	metal oxide		ethylene			
molecule	6s(M)	5d(M)	<i>q</i> (M)	$q(C_a)$	$q(C_b)$	$q(C_2H_4)$
ethylene				-0.44	-0.44	0.00
OsO4	0.32	5.43	2.22			
$OsO_4 - TS[3 + 2]$	0.34	5.54	2.07	-0.29	-0.27	0.37
OsO <sub>4</sub> -dioxylate	0.38	5.67	1.89	-0.15	-0.15	0.62
$OsO_4 - TS[2+2]$	0.35	5.52	2.08	-0.80	-0.15	0.07
OsO <sub>4</sub> -oxetane	0.38	5.57	2.01	-0.66	-0.13	0.20
OsO <sub>4</sub> -TS[rearr]	0.49	5.64	1.81	-0.39	-0.17	0.42
ReO <sub>4</sub> <sup>-</sup>	0.28	4.54	2.11			
$ReO_4^ TS[3 + 2]$	0.35	4.69	1.87	-0.35	-0.35	-0.06
ReO <sub>4</sub> <sup>-</sup> -dioxylate	0.45	4.84	1.62	-0.13	-0.13	0.47
$\text{ReO}_4^\text{TS}[2+2]$	0.32	4.55	2.06	-0.85	-0.22	-0.20
ReO <sub>4</sub> <sup></sup> oxetane	0.34	4.65	1.95	-0.79	-0.11	-0.10
ReO <sub>4</sub> <sup>-</sup> -TS[rearr]	0.38	4.79	1.74	-0.34	-0.13	0.28
ClReO <sub>3</sub>	0.30	4.71	1.95			
$ClReO_3 - TS[3 + 2]$	0.32	4.83	1.81	-0.34	-0.34	0.30
ClReO <sub>3</sub> -dioxylate	0.34	4.99	1.63	-0.16	-0.16	0.61
$ClReO_3 - TS[2 + 2] cis$	0.34	4.76	1.85	-0.76	-0.18	0.13
$ClReO_3 - TS[2 + 2]$ trans	0.36	4.74	1.85	-0.76	-0.13	0.18
ClReO <sub>3</sub> -oxetane cis	0.34	4.77	1.84	-0.76	-0.12	0.10
ClReO <sub>3</sub> -oxetane trans	0.37	4.79	1.79	-0.66	-0.12	0.19
ClReO <sub>3</sub> -TS[rearr] cis	0.43	4.92	1.60	-0.40	-0.16	0.42
ClReO <sub>3</sub> -TS[rearr] trans	0.46	4.93	1.55	-0.34	-0.14	0.53
CpReO <sub>3</sub>	0.26	4.83	1.87			
$CpReO_3 - TS[3 + 2]$	0.25	4.96	1.75	-0.36	-0.36	0.22
CpReO <sub>3</sub> -dioxylate	0.27	5.18	1.50	-0.14	-0.14	0.56
$CpReO_3 - TS[2 + 2] cis$	0.33	4.75	1.87	-0.77	-0.19	0.06
$CpReO_3 - TS[2 + 2]$ trans	0.36	4.79	1.80	-0.68	-0.19	0.16
CpReO <sub>3</sub> -oxetane cis	0.33	4.76	1.87	-0.76	-0.11	0.08
CpReO <sub>3</sub> -oxetane trans	0.36	4.78	1.82	-0.68	-0.11	0.14
CpReO <sub>3</sub> -TS[rearr] cis	0.30	5.07	1.57	-0.39	-0.17	0.36
CpReO <sub>3</sub> -TS[rearr] trans	0.39	5.03	1.52	-0.40	-0.15	0.47
CpReO <sub>3</sub>	0.25	4.84	1.86			
$Cp*ReO_3-TS[3+2]$	0.24	4.98	1.74	-0.36	-0.36	0.21

Å).<sup>22</sup> The difference may partly be due to packing effects, which always tend to shorten bond lengths of donor–acceptor bonds.<sup>23</sup> More important are the changes in the Re–C distances when CpReO<sub>3</sub> binds to ethylene. The optimized geometry of CpReO<sub>3</sub>– dioxylate shows three shorter (2.238–2.324 Å) and two longer Re–C (2.505 and 2.518 Å) bonds. This means that three rhenium–carbon bonds become much shorter in CpReO<sub>3</sub>– dioxylate, whereas two Re–C bonds are slightly lengthened. Note that the Re–Cl distance is nearly the same in ClReO<sub>3</sub> and ClReO<sub>3</sub>–dioxylate (Figure 1). The theoretically predicted change of the Re–C distances in CpReO<sub>3</sub>–dioxylate are in very good agreement with the X-ray structure analysis of Cp\*ReO<sub>3</sub>– dioxylate, which shows also a significant shortening of three Re–C bond lengths, while two Re–C distances hardly change.<sup>24</sup>

More relevant for this work are the Re–C bond lengths in CpReO<sub>3</sub>-oxetane. Figure 1 shows clearly that rhenium is  $\eta^{1}$ -bonded to the Cp ligand in the compound, which has one short (2.177 Å) and four long (2.935–3.823 Å) Re–C distances in the energetically lower lying trans form. The cis form of CpReO<sub>3</sub>-oxetane is also  $\eta^{1}$ -bonded. Thus, the Cp ligand shows a significantly different binding behavior with rhenium when ethylene is bonded in a [2 + 2] or [3 + 2] fashion.

# Discussion

To find an explanation for the large differences in the thermodynamic and kinetic results for addition of the metal oxides to ethylene, we analyzed the electronic structure of the stationary points on the potential energy surfaces. Table 3 shows the partial charges given by the NBO method. Table 4 gives the results of the charge decomposition analysis for the [3 + 2] transition states.

Because the addition of OsO<sub>4</sub> to ethylene is an oxidation reaction, it can be expected that the C<sub>2</sub>H<sub>4</sub> moiety of the OsO<sub>4</sub><sup>--</sup>– C<sub>2</sub>H<sub>4</sub> species carries a positive partial charge. Table 3 shows that the OsO<sub>4</sub>-dioxylate has a positive charge  $q(C_2H_4) = +0.62$ . About one-half of the charge transfer has already taken place at the transition state OsO<sub>4</sub>-TS[3 + 2], which has  $q(C_2H_4) =$ 0.37. The charge transfer from ethylene to OsO<sub>4</sub> in the intermediate OsO<sub>4</sub>-oxetane is only +0.20*e* (Table 3).

The results of the CDA for the interaction between OsO<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> also show that the dominant electronic flow is from ethylene to OsO<sub>4</sub>. The amount of C<sub>2</sub>H<sub>4</sub>  $\rightarrow$  OsO<sub>4</sub> donation in OsO<sub>4</sub>-TS[3 + 2] (0.179*e*) is clearly higher than the C<sub>2</sub>H<sub>4</sub>  $\leftarrow$  OsO<sub>4</sub> backdonation (0.136*e*). The difference between the C<sub>2</sub>H<sub>4</sub>  $\rightarrow$  OsO<sub>4</sub> donation and C<sub>2</sub>H<sub>4</sub>  $\leftarrow$  OsO<sub>4</sub> backdonation (0.043*e*) is much less, however, than the charge transfer given by the NBO method (0.37*e*). It means that the mixing of the occupied orbitals as given by the repulsive polarization term is largely responsible for the charge reorganization.

Figure 3 shows the frontier orbitals of the metal oxides. OsO<sub>4</sub> has a triply degenerate ( $t_1$ ) HOMO and a doubly degenerate (e) LUMO. Both frontier orbitals are mainly  $p(\pi)$  orbitals at oxygen. HOMO and LUMO of OsO<sub>4</sub> have the right symmetry to interact with the  $\pi^*$  LUMO and  $\pi$  HOMO of ethylene in a [3 + 2] fashion, respectively, but not in a [2 + 2] way. The small value for the  $\Delta$  term (0.005) of the CDA partitioning of OsO<sub>4</sub>-TS[3 + 2] indicates that it is justified to consider the reaction step as [3 + 2] cycloaddition. A previous CDA examination of the formal [2 + 2] transition state showed that the  $\Delta$  term is significantly different from zero.<sup>4a</sup> Further analysis of the CDA terms shows that the HOMO(C<sub>2</sub>H<sub>4</sub>)  $\rightarrow$  LUMO(OsO<sub>4</sub>) donation and LUMO(C<sub>2</sub>H<sub>4</sub>)  $\leftarrow$  HOMO(OsO<sub>4</sub>) backdonation are the dominant orbital contributions to the total donation and backdonation.

The [3 + 2] addition of ReO<sub>4</sub><sup>-</sup> to ethylene has a barrier much higher than that for the OsO<sub>4</sub> addition. Table 3 shows that the product molecule ReO<sub>4</sub><sup>-</sup>-dioxylate has a partial charge at the  $C_2H_4$  moiety (+0.47*e*) lower than that of the OsO<sub>4</sub>-dioxylate. This is reasonable, because  $\text{ReO}_4^-$  is already negatively charged. More important is the charge distribution in the transition state  $\text{ReO}_4^--\text{TS}[3+2]$ , which has a *negative* partial charge at the ethylene unit! This means that there is initially a "wrong" electron flow from the metal oxide to ethylene when ReO<sub>4</sub><sup>-</sup> approaches  $C_2H_4$ . Although the transition state  $ReO_4^--TS[3]$ + 2] occurs much later on the reaction coordinate than OsO<sub>4</sub>-TS[3 + 2], which is revealed by the longer C-C and shorter O-C distances in the former transition state (Figure 1), there is still a small negative charge of  $q(C_2H_4) = -0.06$  in ReO<sub>4</sub><sup>--</sup> TS[3 + 2] (Table 3). The importance of the repulsive interactions in  $\text{ReO}_4^--\text{TS}[3+2]$  is revealed by the CDA results (Table 4). There is a repulsive polarization substantially larger (-1.636e) than that in OsO<sub>4</sub>-TS[3 + 2] (-0.290e). Another difference between the two [3 + 2] transition states is the relative size of donation and backdonation. The amount of  $C_2H_4 \leftarrow MeO$ backdonation b in  $\text{ReO}_4^-$ -TS[3 + 2] is higher than the C<sub>2</sub>H<sub>4</sub>  $\rightarrow$  MeO donation d. The d/b ratio in ReO<sub>4</sub><sup>-</sup>-TS[3 + 2] is only 0.69, whereas d/b = 1.32 in OsO<sub>4</sub>-TS[3 + 2]. This can be explained with the different energy levels of the frontier orbitals in OsO<sub>4</sub> and ReO<sub>4</sub><sup>-</sup>. Table 5 shows that the negatively charged ReO<sub>4</sub><sup>-</sup> has much higher lying frontier orbitals than OsO<sub>4</sub>, which makes the HOMO(ReO<sub>4</sub><sup>-</sup>)-LUMO(C<sub>2</sub>H<sub>4</sub>) interaction energetically more favorable than the LUMO( $ReO_4^-$ )-HOMO( $C_2H_4$ ) interaction. Interestingly, the CDA shows that the main contribution arises from the donation of HOMO-1(ReO<sub>4</sub><sup>-</sup>) to the LUMO(C<sub>2</sub>H<sub>4</sub>).<sup>25</sup> The HOMO-1 of ReO<sub>4</sub><sup>-</sup> has  $t_2$  symmetry

Table 4. CDA Results (B3LYP/II) for the [3 + 2] Transition States of the C<sub>2</sub>H<sub>4</sub> Addition to Metal Oxides (LMO<sub>3</sub>)

LMO <sub>3</sub>	d (donation) $C_2H_4 \rightarrow LMO_3$	b (backdonation) $C_2H_4 \leftarrow LMO_3$	d/b	r (repulsive polarization) $C_2H_4 \longleftrightarrow LMO_3$	Δ
$OsO_4$	$0.179 (0.228)^a$	$0.136 (0.113)^{b}$	1.32	-0.290	0.005
$ClReO_2$	$(0.191 (0.123)^{e})$ 0.214 (0.233) <sup>e</sup>	$0.275 (0.093)^{a}$ $0.228 (0.135)^{f}$	0.69	-1.636 -0.590	0.057
CpReO <sub>3</sub>	$0.207 (0.194)^{g}$	$0.216 (0.106)^{h}$	0.96	-0.507	0.000

<sup>*a*</sup> The most important contributions are given in parentheses. HOMO  $\rightarrow$  LUMO. <sup>*b*</sup> LUMO  $\leftarrow$  HOMO. <sup>*c*</sup> HOMO  $\rightarrow$  LUMO. <sup>*d*</sup> LUMO  $\leftarrow$  HOMO-1. <sup>*e*</sup> HOMO  $\rightarrow$  LUMO. <sup>*f*</sup> LUMO  $\leftarrow$  HOMO. <sup>*s*</sup> HOMO  $\rightarrow$  LUMO. <sup>*h*</sup> LUMO  $\leftarrow$  HOMO-3.

(Figure 3). However, the main reason for the large barrier of the  $\text{ReO}_4^-$  [3 + 2] addition to  $\text{C}_2\text{H}_4$  is clearly the repulsion between the negatively charged metal oxide and the  $\pi$ -electron density of ethylene.

The calculated [2 + 2] transition states of OsO<sub>4</sub> and ReO<sub>4</sub><sup>-</sup> have nearly identical C-C distances of the ethylene ligand (Figure 1), which confers with the similar activation barriers for the two processes (Table 1). Note, however, that the oxygen-carbon distance of  $\text{ReO}_4$ <sup>--</sup>TS[2 + 2] (1.767 Å) is significantly shorter than that in  $OsO_4-TS[2+2]$  (1.919 Å), whereas the metal-carbon distance of the former is clearly longer than that in the latter TS. The calculated geometries indicate a pathway for the [2 + 2] addition of ReO<sub>4</sub><sup>-</sup> to ethylene which is more asynchronous than that for OsO4. The calculated charge distribution shows (Table 3) that  $\text{ReO}_4^--\text{TS}[2+2]$  and  $OsO_4 - TS[2 + 2]$  have a large zwitterionic character, with the negative end at Ca and the positive end at the metal. This could be a hint towards stabilization of the [2 + 2] transition states. Olefins with push-pull substituents at the carbon atoms might lead to a kinetically favored oxetane formation. We will investigate this possibility in a future theoretical study. Note that the ethylene moiety in the intermediate ReO<sub>4</sub><sup>--</sup>oxetane carries a small negative charge, which is even higher in ReO<sub>4</sub><sup>--</sup> TS[2 + 2] (Table 3).

The transition state for rearrangement TS[rearr] of  $\text{ReO}_4^-$ - $C_2H_4$  is much higher in energy than the [2 + 2] transition state. Figure 1 shows that the C-C distance of  $\text{ReO}_4^-$ -TS[rearr] (1.608 Å) is much longer than that in  $\text{OsO}_4^-$ -TS[rearr] (1.513 Å), and it is also much longer than the energy minima to which the TS belongs, i.e.,  $\text{ReO}_4^-$ -oxetane (1.521 Å) and  $\text{ReO}_4^-$ -dioxylate (1.517 Å).

The energy barrier for the [3 + 2] addition of ClReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> is intermediate between the barriers for the systems OsO<sub>4</sub>- $C_2H_4$  and  $ReO_4^--C_2H_4$  (Table 1). The optimized structure for  $CIReO_3 - TS[3 + 2]$  shows that the calculated C-C and O-C distances are also intermediate between those for OsO<sub>4</sub>-TS[3 + 2] and  $\text{ReO}_4^-$ -TS[3 + 2] (Figure 1). There is a charge transfer of 0.61e from C<sub>2</sub>H<sub>4</sub> to ClReO<sub>3</sub> in ClReO<sub>3</sub>-dioxylate (Table 3). Half of the amount (0.30e) is already transferred in  $CIReO_3 - TS[3 + 2]$ . The CDA results (Table 4) show that  $C_2H_4$  $\rightarrow$  ClReO<sub>3</sub> donation (0.214e) and C<sub>2</sub>H<sub>4</sub>  $\leftarrow$  ClReO<sub>3</sub> backdonation (0.228e) have similar size. The d/b ratio of 0.94 is also intermediate between values for  $OsO_4-TS[3+2]$  and  $ReO_4^--$ TS[3 + 2]. Table 5 shows that the energy levels  $\epsilon_{\text{HOMO}}$  and  $\epsilon_{LUMO}$  in ClReO<sub>3</sub> are raised relative to those in OsO<sub>4</sub>. The energy rise is rather small, however, and cannot be the main reason for the significantly higher activation barrier. Note that the shape of the frontier orbitals and the participation of the oxygen atoms are different between ClReO3 and OsO4, because the molecules have different symmetry and the number of oxygen atoms is different. For example, the HOMO of ClReO3 is distributed exclusively over the  $p(\pi)$  orbitals of the three oxygens, whereas four oxygens are involved in the HOMO of OsO4 and ReO4<sup>-</sup>. This shows that a simple frontier orbital consideration is not sufficient to explain the changes in the [3 + 2] activation energies. The results demonstrate, however, that the energy level and the distribution of the frontier orbitals of the metal oxides have a strong influence on the [3 + 2] energy barrier.

The CDA results reveal another aspect which might be important for the barriers of the [3 + 2] reaction. Table 4 shows that the repulsive interaction term  $C_2H_4 \longrightarrow CIReO_3$  (-0.590*e*) is clearly larger than  $C_2H_4 \longrightarrow OsO_4$ . The negative partial charge at oxygen is higher in CIReO<sub>3</sub> than in OsO<sub>4</sub> (Table 3). This means that the initial charge repulsion between the oxygen atoms and the  $\pi$ -charge of ethylene for the approach of CIReO<sub>3</sub> is higher than that for OsO<sub>4</sub>. This is in agreement with the CDA result.

The transition state  $CIReO_3-TS[2 + 2]$  is lower in energy than  $ReO_4^--TS[2 + 2]$  and  $OsO_4-TS[2 + 2]$  (Table 1). Figure 1 shows that the C-C and O-C distances of  $CIReO_3-TS[2 + 2]$  are similar to  $OsO_4-TS[2 + 2]$ , but the metal-C<sub>a</sub> distance of the former TS is clearly shorter. This could be the reason for the lower energy of  $CIReO_3-TS[2 + 2]$ , because there is charge attraction between positively charged Re and negatively charged C<sub>a</sub> (Table 3). Note that there is little correlation between the reaction energies and the activation barriers for the [2 + 2] addition reaction of the metal oxides to ethylene.

The calculated structures of the CpReO<sub>3</sub>-C<sub>2</sub>H<sub>4</sub> species indicate the special nature of the Cp ligand, which is revealed by the theoretically predicted Re-C distances (Table 2). In CpReO<sub>3</sub>, rhenium is  $\eta^5$ -bonded to the Cp ligands with Re-C distances between 2.474 and 2.488 Å. Rhenium has the formal oxidation state VII, i.e., the seven valence electrons all form chemical bonds with the ligands. There are three Re=O double bonds in CpReO<sub>3</sub> and one Re-Cp single bond, which becomes enhanced by  $Cp \rightarrow Re$  donation from the  $\pi$ -electrons of the aromatic ring. The number of the rhenium-oxygen bonds in the [3 + 2] addition product CpReO<sub>3</sub>-dioxylate is one double and two single bonds. The formal oxidation state in LReO3dioxylate is V, which means that two valence electrons at Re are not engaged in binding interactions. However, unlike for L = Cl and  $O^-$ , the ligand L = Cp can activate additional atoms for L-Re bonding. Three Re-C bonds become significantly shorter in CpReO<sub>3</sub>-dioxylate compared with free CpReO<sub>3</sub>.<sup>26</sup> Although the short Re-C distances in CpReO3-dioxylate (2.238-2.324 Å) are still longer than those in H<sub>3</sub>C-ReO<sub>3</sub> (2.06 Å),<sup>27</sup> it becomes obvious that the Re-carbon bonding interactions become enhanced in CpReO3-dioxylate. The enhanced binding of the Cp ligand is not possible for Cl in ClReO3dioxylate. Figure 1 shows that the Re-Cl distance is even slightly longer in ClReO<sub>3</sub>-dioxylate than in free ClReO<sub>3</sub>. Table 2 shows that three Re-carbon distances are already shortened in  $CpReO_3^--TS[3 + 2]$ , and two bonds become longer. The unique property of the Cp ligand to adopt to different bonding situations seems to be the main reason for the relatively low activation barrier and exothermicity of the [3 + 2] addition of CpReO<sub>3</sub> to ethylene. Table 5 shows that the energy levels of the HOMO and the LUMO of CpReO<sub>3</sub> are raised with respect to those of ClReO<sub>3</sub>. The CDA results given in Table 4 show that the d/b ratios of ClReO<sub>3</sub> and CpReO<sub>3</sub> are nearly the same.

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Figure 3. Plot of the frontier orbitals of the metal oxides.

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**Table 5.** Calculated (B3LYP/III+//B3LYP/II) Activation Barriers ( $\Delta E^{\pm}$ ) for the [3 + 2] Addition of Metal Oxides (LMO<sub>3</sub>) in Ethylene<sup>*a*</sup>

LMO <sub>3</sub>	$\Delta E^{\ddagger}$ (kcal/mol)	d/b	$\epsilon_{\mathrm{HOMO}}$	$\epsilon_{ m LUMO}$
OsO <sub>4</sub>	6.9	1.32	0.0	0.0
$\text{ReO}_4^-$	37.7	0.69	$6.27^{b}$	8.11
ClReO <sub>3</sub>	24.0	0.94	0.27	0.65
CpReO <sub>3</sub>	15.7	0.96	$1.11^{c}$	1.80

<sup>*a*</sup> Ratio of donation *d* and backdonation *b* given by the CDA method; energy levels [eV] of the dominant frontier orbitals relative to  $OsO_4$ .

Both data do not readily explain why the latter metal oxide has a lower barrier for the [3 + 2] addition.

The Cp ligand also has a significant influence on the reaction course of the [2 + 2] addition of CpReO<sub>3</sub> to ethylene, which has the lowest activation barrier of all metal oxides that were investigated in this work. As noted above, the Cp ligand is bonded in an  $\eta^1$  fashion in the CpReO<sub>3</sub>-oxetane intermediate (Figure 1 and Table 2). The change from  $\eta^5$  in free CpReO<sub>3</sub> to  $\eta^1$  in CpReO<sub>3</sub>-oxetane, which both have the formal oxidation state VII for rhenium, could be due to steric effects. In CpReO<sub>3</sub>oxetane, the rhenium atom is five-coordinate, whereas it is fourcoordinate in free CpReO<sub>3</sub>. The Cp ligand in CpReO<sub>3</sub>-oxetane is in a pseudoaxial position, and the pseudoquatorial positions are occupied by three oxygens in the trans isomer and two oxygens and a carbon in the cis isomer (Figure 1). There are also electronic effects which favor  $\text{Re-Cp}(\eta^1)$  bonding. The  $\pi$ -component of the Re-Cp  $\eta^5$ -bonding comes from electron donation from the Cp ligand into the empty  $d_{yz}$  and  $d_{xz}$  orbitals, when the z-axis is taken from Re to the midpoint of the Cp ligand. Then, the xy plane becomes the equatorial plane in CpReO<sub>3</sub>-oxetane. There will be stronger  $\pi$ -bonding between Re and the terminal oxygens atoms, which becomes obvious by the shortening of the Re=O bond lengths in CpReO3oxetane (Figure 1). Because the Re=O  $\pi$ -bonding involves the  $d_{yz}$  and  $d_{xz}$  orbitals of Re, the Cp-Re  $\pi$ -bonding becomes less efficient.

It is puzzling at first sight that the cis isomer of CpReO<sub>3</sub>oxetane is higher in energy than the trans isomer, while the corresponding transition states  $CpReO_3-TS[2+2]$  exhibit the opposite order (Table 1).  $CpReO_3 - TS[2 + 2]_{cis}$  is significantly lower (29.9 kcal/mol) than CpReO<sub>3</sub>-TS[2 + 2]<sub>trans</sub> (37.8 kcal/ mol). We think that the Cp ligand has a destabilizing effet on the trans Re–C bond, because two  $\sigma$ -donor bonds are trans to each other. There is no  $\sigma$ -bond trans to Re-Cp in CpReO<sub>3</sub>- $TS[2 + 2]_{cis}$ . Therefore,  $CpReO_3 - TS[2 + 2]_{trans}$  is higher in energy than  $CpReO_3-TS[2+2]_{cis}$ . The reason why the cis form of the intermediate CpReO3-oxetane is less stable than the trans form can be explained with the same reasoning. The C(Cp)-Re  $\sigma$ -bond is trans to the Re–Ca  $\sigma$ -bond in the trans isomer and trans to the Re–O2  $\sigma$ -bond in the cis isomer. Because the latter  $\sigma$ -bond is stronger, the unfavorable trans interactions cause CpReO<sub>3</sub>-oxetane<sub>cis</sub> to become higher in energy than CpReO<sub>3</sub>oxetanetrans.

The transition states for rearrangement are very high in energy for all metal oxides. TS[rearr] is even the highest lying transition state for LReO<sub>3</sub> (Table 1 and Figure 2). The large energy barrier suggests that the rearrangement is a symmetry-forbidden process. We checked the electronic structure of the oxetane intermediates, but it was not possible to specify a particular orbital that might be responsible for the large activation energy.

A final matter of discussion concerns the results of the kinetics of alkene extrusion from rhenium diolates, which have been interpreted in favor of a two-step mechanism involving metallaoxetane intermediates.<sup>6</sup> The theoretical results presented by Rappé et al.<sup>8</sup> and in our work leave little doubt that the reaction takes place via a concerted [3 + 2] addition. The same situation exists for the osmylation reaction, in which kinetic studies suggested [2 + 2] addition followed by rearrangement as the reaction mechanism.<sup>2</sup> Quantum calculations clearly showed that the [3 + 2] cycloaddition is operative in the reaction.<sup>4</sup> We think that a thorough analysis of the kinetic data is necessary to make the results of the kinetic measurements understandable. The question is not anymore if the reaction takes place via [3 + 2] or [2 + 2] addition. The question is why measurements of [3 + 2] additions of metal oxides to olefins give data which are not in agreement with the mechanism of the reaction.

# Summary

The results of this work can be summarized as follows:

(1) The metal oxides LReO<sub>3</sub> (L = O<sup>-</sup>, Cl, Cp) have activation barriers for the [3 + 2] addition to ethylene that are significantly higher than that for OsO<sub>4</sub>. The order for the activation energies is OsO<sub>4</sub> < CpReO<sub>4</sub> < ClReO<sub>3</sub> < ReO<sub>4</sub><sup>-</sup>.

(2) The activation energies for the [2 + 2] addition yielding metallaoxetanes remain in all cases higher than the barriers for the [3 + 2] reaction, but the differences between the barrier heights is much less for LReO<sub>3</sub> than for OsO<sub>4</sub>. CpReO<sub>3</sub> and ClReO<sub>3</sub> have barriers for [2 + 2] addition to ethylene that are lower than that for OsO<sub>4</sub>, whereas ReO<sub>4</sub><sup>-</sup> has a slightly higher activation energy.

(3) The activation energies for rearrangement of the oxetane intermediate to the dioxylate is very high for LReO<sub>3</sub>, which rules out the suggested two-step mechanism for alkene extrusion from Re(V)dioxylates.

(4) It seems possible that the addition of metal oxides LReO<sub>3</sub> to (1,2)pushpull-substituted olefins yields metallaoxetanes rather than dioxylates, because the carbon atoms of the metallaoxetanes have a zwitterionic character in the [2 + 2] transition state and in the product.

(5) The activation barrier for the [3 + 2] addition can be related to the frontier orbitals of the reactants, whereas the [2 + 2] addition is not a cycloaddition but rather a nucleophilic attack of the oxygen toward one carbon atom of the olefin.

(6) The Cp ligand shows unique properties as "stereoelectronic mediator" by adopting different bonding modes with the metal in CpReO<sub>3</sub> $-C_2H_4$  isomers. This leads to energies for the activation barriers and reaction products which are not obvious when free CpReO<sub>3</sub> becomes analyzed.

**Note Added in Proof:** An example where the [2 + 2] addition of a transition medal oxide across a C=C double bond has a lower activation energy than the [3 + 2] addition has now been found for (R<sub>3</sub>PN)ReO<sub>3</sub> + ketene: Schlecht, S.; Deubel, D. V.; Dehnicke, K.; Frenking, G. *Angew. Chem.* Submitted for publication.

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